



Filing ID #10022409

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Harley E. Rouda Jr.  
**Status:** Congressional Candidate  
**State/District:** CA48

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/21/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apex Sports Group [OL] LOCATION: Golden, CO, US DESCRIPTION: Ski boot systems		\$15,001 - \$50,000	None		
Armchair Investments LLC ⇒ Commercial Buildings [RP] LOCATION: Columbus, OH, US		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Armchair Investments LLC ⇒ Fidelity/Corient Capital Partners LLC Defined Benefit Plan [BA]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Her Realtors, LLC [OL] LOCATION: Columbus, OH, US DESCRIPTION: Real estate		\$500,001 - \$1,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Armchair Investments LLC ⇒ TrueWest [OL] LOCATION: Location, CA, US DESCRIPTION: Pooled investment account (formerly known as SEAM II Fund)		\$50,001 - \$100,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Angel Oak Multi Strategy Inc. Insti [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA Emerging Markets Portfolio [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Large Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Small Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Value Port Insti [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA Int'l Small Cap Value [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Micro Cap Port Insti [MF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Value Prtf Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Dimentional Emerging Mkts Val Port Insti [EF]					
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Eaton Vance Floating Rate Advantage CI I [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Fidelity Government Cash Reserves [BA]		\$250,001 - \$500,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Invesco Equally Weighted S&P 500 [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ JPMorgan Strategic Income Opps CI I [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ SPDR S&P Midcap 400 EFT Tr Utseri S&Pdcrp [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard Admiral FDS Inc S&P 500 Value Index Fund [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard S&P 500 Growth EFT [EF]		\$15,001 - \$50,000	Tax-Deferred		
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves [BA]		\$1 - \$1,000	Interest	None	None
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends, Interest	\$201 - \$1,000	\$1 - \$200
Trident Holdings, Inc. ⇒ Cova, LLC [OL]		\$50,001 - \$100,000	None		
LOCATION: San Clemente, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Water sports apparel					
Trident Holdings, Inc. ⇒ Grayl, Inc. [OL]		\$100,001 - \$250,000	None		
LOCATION: Seattle, WA, US					
DESCRIPTION: Water purification products					
Trident Holdings, Inc. ⇒ HER Realty HomeGard [OL]		\$15,001 - \$50,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Home warranty services					
Trident Holdings, Inc. ⇒ Her, Inc. [OL]		\$1,000,001 - \$5,000,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate					
Trident Holdings, Inc. ⇒ Poler Inc. [OL]		\$15,001 - \$50,000	None		
LOCATION: Portland, OR, US					
DESCRIPTION: Apparel & outdoor gear					
Trident Holdings, Inc. ⇒ Raen Optics, Inc. [OL]		\$50,001 - \$100,000	None		
LOCATION: Encinitas, CA, US					
DESCRIPTION: Eyewear					
Trident Holdings, Inc. ⇒ Relevium Labs, Inc. [OL]		\$15,001 - \$50,000	None		
LOCATION: Oxford, OH, US					
DESCRIPTION: Medical devices					
Trident Holdings, Inc. ⇒ Stuffstr [OL]		\$15,001 - \$50,000	None		
LOCATION: Seattle, WA, US					
DESCRIPTION: Item reuse/repair/resale/recycling services public benefit corporation					
Trident Holdings, Inc. ⇒ Trident Holdings, Inc. [OL]		\$5,000,001 - \$25,000,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate services					
Trident Holdings, Inc. ⇒ Trident Title Agency Ltd. [OL]		\$1,000,001 - \$5,000,000	Ownership profits	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate title services					
UTG, Inc. (UTGN) [ST]		\$1,001 - \$15,000	None		
Wells Fargo Accounts [BA]		\$15,001 - \$50,000	Interest	None	None
Wells Fargo Brokerage ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$500,001 - \$1,000,000	None		
Wells Fargo Brokerage ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Wells Fargo IRA ⇒ Cash [BA]		\$1,001 - \$15,000	Interest	None	None
Wells Fargo IRA ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$250,001 - \$500,000	Dividends	None	\$201 - \$1,000
Wells Fargo IRA ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Capital Gains	None	\$15,001 - \$50,000
Wells Fargo IRA (2) ⇒ Cash [BA]		\$1 - \$1,000	Interest	None	None
Wells Fargo IRA (2) ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$1,001 - \$15,000	None		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Trident Holdings, Inc.	Salary, Board Fees	\$220,499.00	\$607,999.00
Rocky Brands, Inc.	Board Fees	\$70,000.00	\$70,000.00
Amazon	Spouse Royalties	N/A	N/A
Harlequin	Spouse Royalties	N/A	N/A
Spark Press	Spouse Royalties	N/A	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Tule Publishing	Spouse Royalties	N/A	N/A
Trident Holdings, Inc.	Spouse Board Fees	N/A	N/A
Trident Title Agency Ltd.	Board Fees	\$5,000.00	\$10,000.00
HER Homegard	Board Fees	N/A	\$500.00
Trident Holdings, Inc.	Consulting fees and debt repayment	N/A	\$1,020,000.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Express	May 2018	Credit Card	\$15,001 - \$50,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Director	Rocky Brands, Inc.
Chief Executive Officer, Boardmember	HomeGard
Member	Partners United Financial, LLC
President, Board of Managers Member, Board of Advisors Member	Homeco LLC
Chief Executive Officer, President, Director	Trident Holdings, Inc.
President, Director	HER, Inc.
Chief Executive Officer, Director	HER Realtors, LLC
Managing Member	Armchair Investments, LLC
Boardmember	Grayl, Inc.
Boardmember	Cova, LLC
Boardmember	Relevium Labs, Inc.
Boardmember	Realstir

**SCHEDULE F: AGREEMENTS**

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Armchair Investments LLC  
LOCATION: US
- Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan  
LOCATION: US
- Corient Capital Partners LLC  
LOCATION: US
- Trident Holdings, Inc.  
LOCATION: US
- Wells Fargo Brokerage  
LOCATION: US
- Wells Fargo IRA
- Wells Fargo IRA (2)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Harley E. Rouda Jr., 05/21/2018